

# Dual abelian varieties <sup>1</sup>

## Abelian varieties over finite fields

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<sup>1</sup>J S Milne (2008) Abelian Varieties

# Dual elliptic curves

Let  $(E, O)$  be an elliptic curve over a field  $K$ . Recall that

$$\begin{aligned} \lambda_{(O)} : E &\longrightarrow \text{Cl}^0(E) \leq \text{Cl}(E) \\ P &\longmapsto (-P) - (O) \end{aligned}.$$

Here  $\text{Cl}(E)$  is the **class group** of Weil divisors  $\sum_{P \in E} n_P(P)$  modulo  $\sim$ , where  $D \sim 0$  if  $D$  is the divisor  $(f)$  of some rational function  $f \in \overline{K}(E)^\times$ , and  $\text{Cl}^0(E)$  is its subgroup with  $\sum_{P \in E} n_P = 0$ .

Idea: for any  $D \in \text{Cl}^0(E)$ , the Riemann–Roch space  $\mathcal{L}(D + (O))$ , where

$$\mathcal{L}(D) := \{f \in \overline{K}(E)^\times : (f) + D \geq 0\} \cup \{0\},$$

is one-dimensional, so  $D \sim (-P) - (O)$  for some  $P \in E$ .

For an elliptic curve  $E$ , its *dual* is  $\text{Cl}^0(E)$ .

# Invertible sheaves on smooth varieties

Let  $X/K$  be a smooth variety. Then identify

$$\begin{array}{ccc} \mathrm{Cl}(X) & \xrightarrow{\sim} & \mathrm{Pic}(X) \\ D & \mapsto & \mathcal{L}(D). \end{array}$$

Here  $\mathrm{Pic}(X)$  is the **Picard group** of invertible sheaves  $\mathcal{L}$  modulo  $\cong$ , with

$$\mathcal{L} \cdot \mathcal{L}' := \mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{L}', \quad \mathcal{L}^{-1} := \mathcal{H}om(\mathcal{L}, \mathcal{O}_X),$$

and  $\mathcal{L}(D)$  is the sheaf of  $\mathcal{O}_X$ -modules such that for any open  $U \subseteq X$ ,

$$\Gamma(U, \mathcal{L}(D)) := \{f \in K(X)^\times : (f) + D \geq 0 \text{ in } U\} \cup \{0\}.$$

If  $f : Y \rightarrow X$  is a morphism, then there is also a **pull-back**

$$f^* \mathcal{L} := f^{-1} \mathcal{L} \otimes_{f^{-1} \mathcal{O}_X} \mathcal{O}_Y \in \mathrm{Pic}(Y).$$

# Invertible sheaves on abelian varieties

Let  $A/K$  be an abelian variety. For any  $a \in A(K)$ , the translation map  $\tau_a : A \rightarrow A$  induces  $\tau_a^* : \text{Pic}(A) \rightarrow \text{Pic}(A)$ . For any  $\mathcal{L} \in \text{Pic}(A)$ , define

$$\begin{aligned} \lambda_{\mathcal{L}} : A(K) &\longrightarrow \text{Pic}(A) \\ a &\longmapsto \tau_a^* \mathcal{L} \cdot \mathcal{L}^{-1} . \end{aligned}$$

This is a homomorphism, by **theorem of the square**

$$\tau_{a+b}^* \mathcal{L} \cdot \mathcal{L} \cong \tau_a^* \mathcal{L} \cdot \tau_b^* \mathcal{L}, \quad a, b \in A(K).$$

This follows from **theorem of the cube**<sup>2</sup> that

$$(f + g + h)^* \mathcal{L} \cdot (f + g)^* \mathcal{L}^{-1} \cdot (f + h)^* \mathcal{L}^{-1} \cdot (g + h)^* \mathcal{L}^{-1} \cdot f^* \mathcal{L} \cdot g^* \mathcal{L} \cdot h^* \mathcal{L}$$

is trivial for any regular maps  $f, g, h : V \rightarrow A$  from a variety  $V/K$ .

In fact, if  $\mathcal{L} \in \text{Pic}(A)$  is ample, then  $\ker(\lambda_{\mathcal{L}}) \leq A(K)$  is finite.<sup>3</sup>

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<sup>2</sup>Theorem I.5.1

<sup>3</sup>Proposition I.8.1

# Invertible sheaves and Weil divisors

## Remark

Equivalently,  $\tau_a^* : \text{Cl}(A) \rightarrow \text{Cl}(A)$  translates a Weil divisor  $D$  by  $-a$ , so

$$\begin{aligned} \lambda_{\mathcal{L}(D)} &: A(K) \longrightarrow \text{Cl}(A) \\ a &\longmapsto D_{-a} - D, \end{aligned}$$

where  $D_{-a}$  is translation of  $D$  by  $-a$ . Theorem of the square becomes

$$D_{-(a+b)} + D \sim D_{-a} + D_{-b}, \quad a, b \in A(K).$$

If  $A = E$ , then

$$\begin{aligned} \lambda_{\mathcal{L}((O))} &: E(K) \longrightarrow \text{Cl}(E) \\ P &\longmapsto (-P) - (O). \end{aligned}$$

In fact, if  $D \in \text{Cl}(E)$  is effective, then  $\deg D = 0$  iff  $\lambda_{\mathcal{L}(D)} = 0$ .<sup>4</sup>

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<sup>4</sup>Example I.8.3

# Translation-invariant invertible sheaves

Let  $+$  :  $A \times A \rightarrow A$  be the addition map, and let  $\pi_i : A \times A \rightarrow A$  be the projection map to the  $i$ -th component. For any  $\mathcal{L} \in \text{Pic}(A)$ , define

$$K(\mathcal{L}) := \{a \in A : (+^* \mathcal{L} \cdot \pi_1^* \mathcal{L}^{-1})|_{A \times \{a\}} \cong \mathcal{O}_A\}.$$

Then  $K(\mathcal{L})(K) = \ker(\lambda_{\mathcal{L}})$  as subgroups of  $A$ , since

$$(+^* \mathcal{L} \cdot \pi_1^* \mathcal{L}^{-1})|_{A \times \{a\}} = \tau_a^* \mathcal{L} \cdot \mathcal{L}^{-1}, \quad a \in A(K).$$

In fact,  $K(\mathcal{L})$  is closed as a subvariety of  $A$ .<sup>5</sup>

Define the subgroup of **translation-invariant invertible sheaves**

$$\text{Pic}^0(A) := \{\mathcal{L} \in \text{Pic}(A) : K(\mathcal{L}) = A\}.$$

Then  $\tau_a^* \mathcal{L} \cdot \mathcal{L}^{-1} \in \text{Pic}^0(A)$  for any  $a \in A(K)$ , so  $\text{im}(\lambda_{\mathcal{L}}) \subseteq \text{Pic}^0(A)$ .

Need an abelian variety  $\hat{A}$  such that  $\hat{A}(K) \cong \text{Pic}^0(A)$ .

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<sup>5</sup>Proposition I.5.19

# Construction of dual abelian varieties

Idea:  $\lambda_{\mathcal{L}} : A(K) \rightarrow \text{Pic}^0(A)$  has kernel  $K(\mathcal{L})(K)$ , and in fact is surjective if  $\mathcal{L} \in \text{Pic}(A)$  is ample, <sup>6</sup> so  $\widehat{A}$  should be the quotient variety  $A/K(\mathcal{L})$ .

- ▶ If  $\text{char}(K) = 0$ , then  $K(\mathcal{L})$  is a reduced subgroup variety of  $A$ , and  $A/K(\mathcal{L})$  is simply defined as the  $K(\mathcal{L})$ -orbits of  $A$ .
- ▶ If  $\text{char}(K) \neq 0$ , then  $K(\mathcal{L})$  may not be reduced in general, so redefine  $K(\mathcal{L})$  as the maximal subscheme of  $A$  such that  $(+^* \mathcal{L} \cdot \pi_1^* \mathcal{L}^{-1})|_{A \times K(\mathcal{L})} \cong \pi_2^* \mathcal{L}'$  for some  $\mathcal{L}' \in \text{Pic}(K(\mathcal{L}))$ , and  $A/K(\mathcal{L})$  is naturally an algebraic space quotient of  $A$ .

The **dual abelian variety** of  $A$  is  $\widehat{A} := A/K(\mathcal{L})$ .

## Remark

Since  $\mathcal{L} \in \text{Pic}^0(A)$  iff  $+^* \mathcal{L} \cong \pi_1^* \mathcal{L} \cdot \pi_2^* \mathcal{L}$ , addition on  $A$  lifts to multiplication on  $\mathcal{L}$  and makes  $\mathcal{G}(\mathcal{L}) := \mathcal{L} \setminus \{0\}$  an abelian group scheme over  $K$ . In fact,  $\mathcal{G}(\mathcal{L})$  is an extension of  $A$  by  $\mathbb{G}_m$ , and this defines an isomorphism  $\mathcal{G} : \text{Pic}^0(A) \xrightarrow{\sim} \text{Ext}_K^1(A, \mathbb{G}_m)$  of abelian group schemes. <sup>7</sup>

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<sup>6</sup>Proposition I.8.14

<sup>7</sup>Proposition I.9.3

# Representability of dual abelian varieties

Consider the functor  $\mathcal{F} : \mathbf{Var}_K \rightarrow \mathbf{Set}$  that associates a variety  $V/K$  to the set of isomorphism classes of  $\mathcal{L} \in \mathrm{Pic}(A \times V)$  such that

- ▶  $\mathcal{L}|_{A \times \{x\}} \in \mathrm{Pic}^0(A_x)$  for any  $x \in V$ , and
- ▶  $\mathcal{L}|_{\{0\} \times V} \cong \mathcal{O}_V$ .

## Theorem

$\hat{A}$  represents  $\mathcal{F}$ . In other words  $\mathcal{F}(V) = \mathrm{Hom}(V, \hat{A})$  for any variety  $V/K$ .

## Proof.

Sketched in Section I.8. □

By construction,  $\hat{A}(L) = \mathrm{Pic}^0(A_L)$  for any field extension  $L/K$ .

By universality,  $\hat{A}$  is unique up to unique isomorphism. Its corresponding universal element is the **Poincaré sheaf**  $\mathcal{P}_A \in \mathcal{F}(\hat{A})$ , which associates any  $\mathcal{L} \in \mathrm{Pic}^0(A)$  with a unique  $\mathcal{P}_A|_{A \times \{a\}}$  for some  $a \in \hat{A}(K)$ .



# Dualities on abelian varieties

The functor  $A \mapsto \hat{A}$  is a duality theory in the sense that  $\hat{\hat{A}} \cong A$ . This follows from  $\mathcal{P}_{\hat{A}} \cong \mathcal{P}_A$ ,<sup>8</sup> since  $\mathcal{P}_A$  parameterises  $\hat{A}(K) \cong \text{Pic}^0(A)$ .

Now let  $\phi : A \rightarrow B$  be a morphism. Then it has a dual morphism

$$\begin{array}{ccc} \hat{\phi} & : & \hat{B} \longrightarrow \hat{A} \\ & & \mathcal{L} \longmapsto \phi^* \mathcal{L} \end{array}.$$

If  $\phi$  is an isogeny, then  $\ker(\hat{\phi}) = \widehat{\ker(\phi)}$  is the *Cartier dual* of  $\ker(\phi)$ ,<sup>9</sup> where  $\widehat{\widehat{\ker(\phi)}} \cong \ker(\phi)$ . If  $K = K^s$  with  $\text{char}(K) \nmid n := \# \ker(\phi)$ , then

$$\widehat{\ker(\phi)} = \text{Hom}(\ker(\phi), \mu_n).$$

This defines a *Weil pairing*

$$e_\phi : \ker(\phi) \times \ker(\hat{\phi}) \rightarrow \mu_n.$$

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<sup>8</sup>Theorem I.8.9

<sup>9</sup>Theorem I.9.1

# Polarisations on abelian varieties

A **polarisation** on  $A$  is an isogeny  $\lambda : A \rightarrow \hat{A}$  such that  $\lambda = \lambda_{\mathcal{L}}$  over  $\overline{K}$  for some ample  $\mathcal{L} \in \text{Pic}(A_{\overline{K}})$ . It is **principal** if it has degree one.

## Remark

*Zarhin proved that  $(A \times \hat{A})^4$  is always principally polarised.*<sup>10</sup>

Let  $\lambda : A \rightarrow \hat{A}$  be a polarisation. This defines an involution on  $\text{End}^0(A)$  called the **Rosati involution**  $(\cdot)^{\dagger} : \text{End}^0(A) \rightarrow \text{End}^0(A)$ , where

$$A \xrightarrow{\phi} A \quad \longmapsto \quad A \xrightarrow{\lambda} \hat{A} \xrightarrow{\hat{\phi}} \hat{A} \xrightarrow{\lambda^{-1}} A,$$

which is well-defined since  $\lambda^{-1} \in \text{Hom}^0(\hat{A}, A)$ . It satisfies

$$(\phi + \psi)^{\dagger} = \phi^{\dagger} + \psi^{\dagger}, \quad (\phi \circ \psi)^{\dagger} = \psi^{\dagger} \circ \phi^{\dagger}, \quad \phi, \psi \in \text{End}^0(A),$$

and  $a^{\dagger} = a$  for any  $a \in \mathbb{Q}$ .

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<sup>10</sup>Theorem I.13.12