

Mathematical Theorem Proving Workshop

Monday, 25 April 2022 — Cambridge Research Centre

Elliptic Curves in Lean

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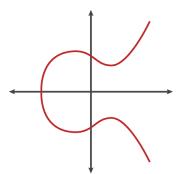
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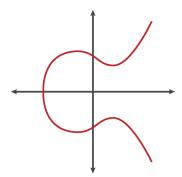
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Points form a group!

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- Rational elliptic curve associated to a^p + b^p = c^p cannot be modular But rational elliptic curves are modular (modularity theorem)
- Distribution of ranks of rational elliptic curves The BSD conjecture (analytic rank equals algebraic rank)

An elliptic curve E over a scheme S is a diagram

E f↓ S

An elliptic curve *E* over a scheme *S* is a diagram

 $\begin{bmatrix} E \\ f \downarrow \\ S \end{bmatrix}_{0}$

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 $^{^1}f$ is smooth, proper, and all its geometric fibres are integral curves of genus one e

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For a scheme T over S, define the set of T-**points** of E by

 $E(T):=\operatorname{Hom}_{S}(T,E),$

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Good for algebraic geometry, but not very friendly...

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Let T/S be a field extension K/F.²

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Group law is free, but still need equations...

The Riemann-Roch theorem gives Weierstrass equations.

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E(K) is basically the set of solutions $(x, y) \in K^2$ to

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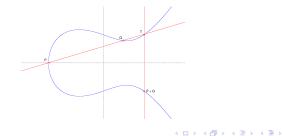
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The group law is reduced to drawing lines.



Implementation

Three definitions of elliptic curves:

- 1. Abstract definition over a scheme
- 2. Abstract definition over a field
- 3. Concrete definition over a field

Generality: $1 \supset 2 \stackrel{\text{RR}}{=} 3$

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\begin{array}{l} \mbox{def disc_aux } \{R: \mbox{Type}\} \ [\mbox{comm_ring } R] \ (a_1 \ a_2 \ a_3 \ a_4 \ a_6 : R) : R := \\ -(a_1^2 + 4^*a_2)^2 (a_1^2 a_6 + 4^*a_2^*a_6 - a_1^*a_3^*a_4 + a_2^*a_3^2 - a_4^2) \\ - 8^* (2^*a_4 + a_1^*a_3)^* 3 - 27^* (a_3^2 + 4^*a_6)^2 \\ + 9^* (a_1^2 + 4^*a_2)^* (2^*a_4 + a_1^*a_3)^* (a_3^2 + 4^*a_6) \\ \mbox{structure EllipticCurve } (R : \mbox{Type}) \ [\mbox{comm_ring } R] := \\ (a_1 \ a_2 \ a_3 \ a_4 \ a_6 : R) \ (\mbox{disc}: \mbox{units } R) \ (\mbox{disc}.\mbox{eq}: \mbox{disc}.\mbox{al} = \mbox{disc}.\mbox{au} \ a_1 \ a_2 \ a_3 \ a_4 \ a_6) \end{array}
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This is the scheme E, but what about the abelian group E(F)?

variables {F : Type} [field F] (E : EllipticCurve F) (K : Type) [field K] [algebra F K]
inductive point
| zero
| some (x y : K) (w : $y^2 + E.a_1*x*y + E.a_3*y = x^3 + E.a_2*x^2 + E.a_4*x + E.a_6)
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Identity

instance : has_zero $E(K) := \langle zero \rangle$

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Negation

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\begin{array}{l} \begin{array}{l} \texttt{def neg}: \texttt{E}(\texttt{K}) \rightarrow \texttt{E}(\texttt{K}) \\ \mid \texttt{zero} := \texttt{zero} \\ \mid (\texttt{some x y w}) := \texttt{some x } (-\texttt{y} - \texttt{E}.\texttt{a}_1 \texttt{*}\texttt{x} - \texttt{E}.\texttt{a}_3) \texttt{ by } \texttt{ } \texttt{ rw } [\leftarrow \texttt{w}], \texttt{ ring } \texttt{ } \texttt{ instance} : \texttt{has_neg } \texttt{E}(\texttt{K}) := \langle \texttt{neg} \rangle \end{array}
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Addition

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Commutativity is doable

Associativity is difficult

lemma add_assoc (P Q R : E(K)) : (P + Q) + R = P + (Q + R) := by { rcases $\langle P, Q, R \rangle$ with $\langle - | -, - | -, - | - \rangle, \dots$ }

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Uniformisation

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- ▶ Proved (by E-I Bartzia and P-Y Strub) in Coq ⁴ that $E(K) \cong \operatorname{Pic}^{0}_{E/F}(K)$ for char $F \neq 2, 3$

⁴A Formal Library for Elliptic Curves in the Coq Proof Assistant (2015)

Modulo associativity, what has been done?

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```
Functoriality \mathbf{Alg}_F \to \mathbf{Ab}
```

```
\begin{array}{l} \texttt{def point_hom} \left( \varphi: \mathsf{K} \to_{a}[\mathsf{F}] \: L \right) : \mathsf{E}(\mathsf{K}) \to \mathsf{E}(\mathsf{L}) \\ \mid \texttt{zero} \coloneqq \texttt{zero} \\ \mid (\texttt{some} \: \mathsf{x} \: \mathsf{y} \: \mathsf{u}) \coloneqq \texttt{some} \: (\varphi \: \mathsf{x}) \: (\varphi \: \mathsf{y}) \: \$ \: \texttt{by} \: \set{\baselineskip} \\ \texttt{local notation} \: \mathsf{K} \to [\mathsf{F}] \: \mathsf{L} \coloneqq \texttt{(algebra.of\_id \: \mathsf{K} \: L)}.\texttt{restrict\_scalars } \mathsf{F} \\ \texttt{lemma point\_hom.id} \: (\mathsf{P} : \mathsf{E}(\mathsf{K})) : \texttt{point\_hom} \: (\mathsf{K} \to [\mathsf{F}] \: \mathsf{K}) \: \mathsf{P} = \mathsf{P} \coloneqq \texttt{by} \: \texttt{cases} \: \mathsf{P}; \: \texttt{refl} \\ \texttt{lemma point\_hom.comp} \: (\mathsf{P} : \mathsf{E}(\mathsf{K})) : \: \texttt{point\_hom} \: (\mathsf{L} \to [\mathsf{F}] \: \mathsf{K}) \: \texttt{point\_hom} \: (\mathsf{L} \to [\mathsf{F}] \: \mathsf{M}) \: \texttt{comp} \: (\mathsf{K} \to [\mathsf{F}] \: \mathsf{L})) \: \mathsf{P} \coloneqq \texttt{by} \: \texttt{cases} \: \mathsf{P}; \: \texttt{refl} \\ \end{aligned}
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```

Galois module structure $\operatorname{Gal}(L/K) \curvearrowright E(L)$

```
\begin{array}{l} \texttt{def point_gal} (\sigma: L \simeq_{\mathsf{a}}[K] \ L): \texttt{E}(L) \rightarrow \texttt{E}(L) \\ | \texttt{zero} \coloneqq \texttt{zero} \\ | (\texttt{some x y v}) \coloneqq \texttt{some} (\sigma \cdot \texttt{x}) (\sigma \cdot \texttt{y}) \texttt{by} \{ \ \dots \} \\ \texttt{lemma point_gal.fixed} \coloneqq \texttt{nul_action.fixed_points} (L \simeq_{\mathsf{a}}[K] \ L) \ \texttt{E}(L) = (\texttt{point_hom} \ (K \rightarrow [F] \ L)).\texttt{range} \coloneqq \texttt{by} \{ \ \dots \} \end{array}
```

Modulo associativity, what has been done?

```
Isomorphisms (x, y) \mapsto (u^2x + r, u^3y + u^2sx + t)
```

```
variables (u : units F) (r s t : F)
def cov : EllipticCurve F :=
\{a_1 := u.inv^*(E.a_1 + 2^*s).
 a_2 := u.inv^2 (E.a_2 - s E.a_1 + 3r - s^2),
 a_2 := u.inv^{3*}(E.a_2 + r^*E.a_1 + 2^*t),
 a_{4} := u.inv^{4*}(E.a_{4} - s^{*}E.a_{2} + 2^{*}r^{*}E.a_{2} - (t + r^{*}s)^{*}E.a_{1} + 3^{*}r^{2} - 2^{*}s^{*}t),
 a_6 := u.inv^6*(E.a_6 + r^*E.a_4 + r^2*E.a_2 + r^3 - t^*E.a_3 - t^2 - r^*t^*E.a_1),
 disc := (u.inv^12*E.disc.val, u.val^12*E.disc.inv, by { ... }, by { ... }),
 disc_eq := by { simp only, rw [disc_eq, disc_aux, disc_aux], ring } }
def cov.to_fun : (E.cov u r s t)(K) \rightarrow E(K)
 zero := zero
 |(some x y w)| = some (u.val^2*x + r) (u.val^3*y + u.val^2*s*x + t) by \{\ldots\}
def cov.inv_fun : E(K) \rightarrow (E.cov u r s t)(K)
 zero := zero
 (some x y w) := some (u.inv^2(x - r)) (u.inv^3(y - sx + rs - t)) by \{\ldots\}
def cov.equiv_add : (E.cov u r s t)(K) \simeq + E(K) :=
 (cov.to_fun u r s t, cov.inv_fun u r s t, by { ... }, by { ... }, by { ... })
```

Modulo associativity, what has been done?

```
2-division polynomial \psi_2(x)
```

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def \psi_2_x : cubic K := \langle 4, E.a_1^2 + 4*E.a_2, 4*E.a_4 + 2*E.a_1*E.a_3, E.a_3^2 + 4*E.a_6 \rangle
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Structure of E(K)[2]

```
notation E(K)[n] := ((\cdot) n : E(K) \rightarrow + E(K)).ker

lemma E_2.x \{x y w\} : some x y w \in E(K)[2] \leftrightarrow x \in (\psi_{2-x} E K).roots := by { . . . }

theorem E_2.card\_le\_four : fintype.card <math>E(K)[2] \le 4 := by \{ . . . \}

variables [algebra ((\psi_{2-x} E F).splitting\_field) K]

theorem E_2.card\_eq\_four : fintype.card <math>E(K)[2] = 4 := by \{ . . . \}

lemma E_2.gal\_fixed (\sigma : L \simeq_p[K] L) (P : E(L)[2]) : \sigma \cdot P = P := by \{ . . . \}
```

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Soon: Mordell's theorem for $E[2] \subset E(\mathbb{Q})$.

Future

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- *n*-division polynomials and the structure of E(K)[n]
- formal groups and local theory
- \blacktriangleright ramification theory \implies Mordell-Weil theorem for number fields

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- Galois cohomology \implies Selmer and Tate-Shafarevich groups
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Thank you!