

# Hyperelliptic curves over function fields

## The arithmetic of hyperelliptic curves

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# Global function fields

A *function field*  $F = k(C)$  is that of a *nice*<sup>1</sup> curve  $C$  over a base field  $k$ . When  $k = \mathbb{F}_q$  is a finite field of size  $q$ , this is a *global function field*.

A *ring of integers*  $\mathcal{O}_F$  of a global function field  $F$  is the ring of sections over an open affine  $U \subseteq C$ , in which case  $C \setminus U$  are its *infinite places*. This is a Dedekind domain, so it has a potentially infinite class group.

A *place*  $v \in V_F$  of a global function field  $F$  is the Galois orbit of a point  $x \in C(\bar{k})$ , or equivalently a maximal ideal of a ring of integers  $\mathcal{O}_F$ . The localisation of  $\mathcal{O}_F$  at  $v$  is a non-archimedean discrete valuation ring.

## Example

If  $C = \mathbb{P}^1$  and  $k = \mathbb{F}_q$ , then  $F = \mathbb{F}_q(t)$  is a global function field, and the ring of integers  $\mathcal{O}_F = \mathbb{F}_q[t]$  has a unique infinite place  $1/t \in V_F$  with valuation  $\text{ord}_{1/t} : F \rightarrow \mathbb{Z} \cup \{\infty\}$  given by  $\text{ord}_{1/t}(f/g) = \deg g - \deg f$ .

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<sup>1</sup>smooth proper geometrically irreducible

# Curves and Jacobians

Let  $X$  be a nice curve of genus  $g_X$  over the function field  $F$  of a nice curve  $C$  of genus  $g_C$  over a base field  $k$ . Associated to  $X$  is a principally polarised abelian variety of dimension  $g_X$  over  $F$  called its *Jacobian*  $J_X$ .

There is a unique abelian variety  $A_X$  over  $k$ , called the  $F/k$ -**trace** of  $J_X$ , and a unique morphism  $\tau_X : A_X \times_k F \rightarrow J_X$ , such that for any abelian variety  $A$  over  $k$  with a morphism  $\tau : A \times_k F \rightarrow J_X$ , there is a unique morphism  $\psi : A \rightarrow A_X$  such that  $\tau_X \circ (\psi \times_k F) = \tau$ .

## Theorem (Lang–Néron)

*If  $F$  is a finitely generated regular field extension of  $k$ , then the Mordell–Weil group  $J_X(F)/\tau_X(A_{J_K} \times_k F)$  is finitely generated.*

If  $J_X \times_F K \cong A \times_{\bar{k}} K$  for some abelian variety  $A$  over  $\bar{k}$  and some finite extension  $K$  of  $F$ , then  $J_X$  is called **isotrivial**. If  $J_X$  is a non-isotrivial elliptic curve, then  $A_X = 0$ , which recovers the Mordell–Weil theorem.

# A hyperelliptic curve

## Example

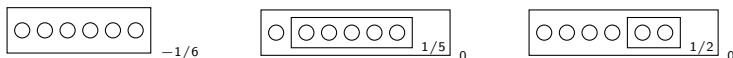
Let  $X$  be the hyperelliptic curve over  $F = \mathbb{F}_{13}(t)$  given by

$$y^2 = f(x) := x^6 + x^5 + t.$$

Then  $J_X$  is non-isotrivial, and in fact geometrically irreducible.

Since the roots of  $f'(x) = 6x^5 + 5x^4$  are only  $x = 0$  and  $x = -5/6$ , it is unramified everywhere except possibly at  $1/t$ , at  $t$ , and at  $t - 5^5/6^6$ , and in fact tamely ramified everywhere since  $2g_X + 1 = 5 < 13$ .

The cluster pictures of  $X$  at  $1/t$ , at  $t$ , and at  $t - 5^5/6^6$  are respectively:



A simple computation shows that  $\mathfrak{f}(J_X) = (1/t)^5 \cdot t^4 \cdot (t - 5^5/6^6)$ .

# L-functions

Let  $k$  be finite, and let  $\rho$  be a nice <sup>2</sup>  $\ell$ -adic representation of  $F$  for some fixed auxiliary prime  $\ell \neq \text{char}(k)$ . The **L-function** of  $\rho$  is given by

$$L(\rho, T) := \prod_{v \in V_F} \det(1 - T \cdot \varphi_v \mid \rho^{I_v})^{-1},$$

which is the L-function of  $J_X$  when  $\rho = \rho_{J_X} := H_{\text{ét}}^1(\overline{X}, \mathbb{Q}_\ell)$  and  $T = q^{-s}$ .

## Theorem (Deligne–Grothendieck)

*The numerator of the rational function  $L(\rho, T)$  is precisely  $\det(1 - T \cdot \phi_q \mid H_{\text{ét}}^1(\overline{C}, \mathcal{F}_\rho))$  for some constructible sheaves  $\mathcal{F}_\rho$  on  $C$ , and*

$$\dim H_{\text{ét}}^1(\overline{C}, \mathcal{F}_\rho) = \deg f(\rho) + (2g_C - 2) \dim \rho + 2 \dim \rho^{\text{Gal}(\overline{k}F/F)}.$$

Here,  $\mathcal{F}_\rho$  is the pushforward of a lisse sheaf on an open subset of  $C$  where  $\rho$  is unramified, and its stalk at any place  $v \in V_F$  is precisely  $\rho^{I_v}$ .

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<sup>2</sup>almost everywhere unramified and pure and self-dual of some integral weight

# Artin formalism

Let  $K$  be a finite extension of  $F$ . Artin's formalism gives a factorisation

$$L(J_X/K, s) := L(\rho_{J_X/K}, q^{-s}) = \prod_{\chi \in \widehat{G}} L(\rho_{J_X} \otimes \chi, q^{-s}),$$

where  $\widehat{G}$  is the character group of the Galois closure of  $K$  over  $F$ .

At the level of étale cohomology, there are also canonical isomorphisms

$$H_{\text{ét}}^1(\overline{C}, \mathcal{F}_{\rho_{J_X/K}}) \cong \bigoplus_{\chi \in \widehat{G}} H_{\text{ét}}^1(\overline{C}, \mathcal{F}_{\rho_{J_X} \otimes \chi}),$$

which respects the action of  $\phi_q$ . Furthermore, if  $\widehat{G}$  can be partitioned into subsets  $o \subseteq \widehat{G}$ , then there are canonical isomorphisms

$$H_{\text{ét}}^1(\overline{C}, \mathcal{F}_{\rho_{J_X/K}}) \cong \bigoplus_{o \subseteq \widehat{G}} H_{\text{ét}}^1(\overline{C}, \mathcal{F}_{\rho_{J_X} \otimes (\bigoplus_{\chi \in o} \chi)}).$$

# Geometric vanishing

By Poincaré duality, the Tate twist  $H_{\text{ét}}^1(\overline{C}, \mathcal{F}_{\rho_{J_X}(1) \otimes (\bigoplus_{\chi \in o} \chi)})$  admits a  $\phi_q$ -invariant non-degenerate symmetric bilinear pairing for any  $o \subseteq \widehat{G}$ .

## Lemma (Ulmer)

Let  $W_1, \dots, W_{2n}$  be finite-dimensional vector spaces with odd  $\dim W_0$ , and let  $\phi: \bigoplus_{i=1}^{2n} W_i \rightarrow \bigoplus_{i=1}^{2n} W_i$  be a linear map with  $\phi(W_i) = W_{i+1}$  for all  $i \in \mathbb{Z}/2n$ , such that  $\bigoplus_{i=1}^{2n} W_i$  admits a  $\phi_q$ -invariant non-degenerate symmetric bilinear pairing that induces an isomorphism  $W_n \cong W_0^*$ . Then

$$1 - T^{2n} \text{ divides } \det(1 - T \cdot \phi \mid \bigoplus_{i=1}^{2n} W_i).$$

In particular, for each subset  $o \subseteq \widehat{G}$  satisfying appropriate assumptions,

$$1 - (qT)^{2n} \text{ divides } \det(1 - T \cdot \phi_q \mid H_{\text{ét}}^1(\overline{C}, \mathcal{F}_{\rho_{J_X} \otimes (\bigoplus_{\chi \in o} \chi)})),$$

which increments the order of vanishing of  $L(J_X/K, s)$ .

# A Frobenius action

## Example (Ulmer)

Let  $F = \mathbb{F}_{13}(t)$ , and let  $K = \mathbb{F}_{13}(\sqrt[170]{t})$ . Then  $\text{Gal}(\overline{\mathbb{F}_{13}(t)}/\mathbb{F}_{13}(t)) \cong \widehat{\mathbb{Z}}$  is generated by  $\phi_{13}$ , which acts naturally on  $\widehat{G} \cong \mathbb{Z}/170$  by

$$\phi_{13}^i \cdot \chi := (\sigma \mapsto \chi(\phi_{13}^i(\sigma))),$$

which translates to multiplication by  $13^{-1} \equiv -13 \pmod{170}$ .

Let  $\widehat{G} \cong \mathbb{Z}/170$  be partitioned by the 44 orbits of this action given by the singletons  $\{0\}$  and  $\{85\}$ , and  $\{\pm n, \pm 13n\}$  for each  $n \in \mathbb{N}$ .

Let  $X$  be as before. If the order of  $\chi \in \widehat{G}$  is sufficiently large,

$$\dim H_{\text{ét}}^1(\overline{C}, \mathcal{F}_{\rho_{J_X}(1) \otimes \chi}) \equiv \deg f(\rho_{J_X}(1) \otimes \chi) \equiv \deg f(\rho_{J_X}) \pmod{2},$$

which is odd. Thus the previous lemma applies, and the order of vanishing of  $L(J_X/K, s)$  at  $s = 1$  is at least  $44 - c$  for some small  $c \in \mathbb{N}$ .



# The Birch–Swinnerton-Dyer conjecture

## Conjecture (Birch–Swinnerton-Dyer)

*The order of vanishing of  $L(J_X, s)$  at  $s = 1$  is  $\text{rk}(J_X)$ , with leading term*

$$\lim_{s \rightarrow 1} \frac{L(J_X, s)}{(s-1)^{\text{rk}(J_X)}} = \frac{\text{Reg}(J_X) \cdot \#\text{III}(J_X) \cdot \text{Tam}(J_X)}{\#\text{tor}(J_X)^2}.$$

This implicitly assumes that  $\text{III}(J_X)$  is finite, which by the exact sequence

$$0 \rightarrow J_X(F) \otimes \mathbb{Z}_\ell \rightarrow \varprojlim_n \text{Sel}_{\ell^n}(J_X) \rightarrow T_\ell \text{III}(J_X) \rightarrow 0,$$

implies that the first map is an isomorphism.

## Theorem (Artin–Tate, Milne, Schneider, Bauer, Kato–Trihan)

*The rank conjecture is equivalent to the finiteness of  $\text{III}(J_X)[\ell^\infty]$  for any prime  $\ell$ , in which case the leading term conjecture also holds.*

# Invariants of surfaces

For any nice curve  $X$  over  $F$ , there is a unique irreducible proper regular relatively minimal surface  $\mathcal{X} \rightarrow C$  over  $k$ , whose generic fibre is  $X$ .

If  $S$  is a proper regular surface over  $k$ , its **Picard** and **Brauer groups** are

$$\mathrm{Pic}(S) := H_{\mathrm{\acute{e}t}}^1(S, \mathbb{G}_m), \quad \mathrm{Br}(S) := H_{\mathrm{\acute{e}t}}^2(S, \mathbb{G}_m).$$

The **Néron–Severi group**  $\mathrm{NS}(S)$  is the image of  $\mathrm{Pic}(S)$  in the quotient of  $\mathrm{Pic}(\overline{S})$  by its subgroup of divisors algebraically equivalent to zero.

## Theorem (Shioda–Tate)

If  $f_v$  is the number of irreducible components of the fibre  $\mathcal{X}_v$  at  $v$ , then

$$\mathrm{rk}(\mathrm{NS}(\mathcal{X})) - \mathrm{rk}(J_X) = 2 + \sum_v (f_v - 1).$$

## Theorem (Grothendieck)

There is a canonical isomorphism  $\mathrm{Br}(\mathcal{X}) \xrightarrow{\sim} \mathrm{III}(J_X)$ .

# The Tate conjecture

Analogously to  $J_X$ , there is an exact sequence

$$0 \rightarrow \mathrm{NS}(\mathcal{X}) \otimes \mathbb{Z}_\ell \xrightarrow{c_\ell} \varprojlim_n H_{\mathrm{\acute{e}t}}^2(\overline{\mathcal{X}}, \mu_{\ell^n})^{G_k} \rightarrow T_\ell \mathrm{Br}(\mathcal{X}) \rightarrow 0,$$

so the finiteness of  $\mathrm{III}(J_X)[\ell^\infty]$  reduces to  $c_\ell$  being an isomorphism.

## Conjecture (Tate)

*The cycle class map  $c_\ell$  is an isomorphism for any prime  $\ell$ . Equivalently,*

$$\mathrm{rk}(\mathrm{NS}(\mathcal{X})) = -\mathrm{ord}_{s=1} \zeta(\mathcal{X}, s).$$

In particular, this is independent of  $\ell$ . It turns out that

$$-\mathrm{ord}_{s=1} \zeta(\mathcal{X}, s) - \mathrm{ord}_{s=1} L(J_X, s) = 2 + \sum_v (f_v - 1),$$

so the rank conjecture is equivalent to the Tate conjecture.

# A Delsarte surface

Tate's conjecture is known to hold for rational surfaces, abelian surfaces, elliptic K3 surfaces, and surfaces dominated by a product of nice curves.

## Example (Ulmer)

Let  $X$  be as before. It defines a *Delsarte* surface  $\mathcal{X} \subseteq \mathbb{P}^3_{[z:t:x:y]}$  given by

$$z^4 y^2 - x^6 - zx^5 - z^5 t = 0,$$

which is dominated by the *Fermat* surface  $S \subseteq \mathbb{P}^3_{[y_0:y_1:y_2:y_3]}$  given by

$$y_0^2 + y_1^2 + y_2^2 + y_3^2 = 0,$$

by the rational map  $S \rightarrow \mathcal{X}$  given by

$$[y_0 : y_1 : y_2 : y_3] \mapsto \left[ \frac{y_2^{12}}{y_1^{10}} : y_3^2 : \frac{y_2^{10}}{y_1^8} : \frac{5y_0 y_2^6}{y_1^5} \right].$$

In particular, the Tate conjecture holds for  $\mathcal{X}$ . Thus the rank conjecture, and hence the full Birch–Swinnerton-Dyer conjecture, holds for  $J_{\mathcal{X}}$ .

# Unbounded ranks

The previous examples generalise to families of hyperelliptic curves.

## Theorem (Ulmer <sup>3</sup>)

*For any  $g, p, r \in \mathbb{N}$  with  $p$  prime, there is a non-isotrivial geometrically irreducible hyperelliptic curves  $X$  of genus  $g$  over  $\mathbb{F}_p(t)$  such that*

$$\mathrm{ord}_{s=1} L(J_X, s) = \mathrm{rk}(J_X) \geq r.$$

For instance,  $X$  could be chosen to be

$$\begin{cases} y^2 + xy = x^{2g+1} + t^{p^n+1}x & \text{if } p = 2, \\ y^2 = x^{2g+1} + x^{2g} + tx & \text{if } 2 < p \mid (2g+1), \\ y^2 = x^{2g+2} + x^{2g+1} + tx & \text{if } 2 < p \mid (2g+2), \\ y^2 = x^{2g+2} + x^{2g+1} + t & \text{otherwise,} \end{cases}$$

in which case  $r \geq (p^n + 1)/2n - c$  for some  $c \in \mathbb{N}$  independent of  $n$ .

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<sup>3</sup>Douglas Ulmer (2007) *L-functions with large analytic rank and abelian varieties with large algebraic rank over function fields*