

Teaching a computer algebraic number theory

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Today, I will share my thoughts so far:

- ▶ why do interactive theorem proving?
- ▶ why do interactive theorem proving in Lean?
- ▶ why do algebraic number theory in Lean?

Interactive theorem proving

Wikipedia says that an **interactive theorem prover** is a software tool to assist with the development of formal proofs by human–machine collaboration, which involves some sort of interactive proof editor, or other interface, with which a human can guide the search for proofs, the details of which are stored in, and some steps provided by, a computer.

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In this sense, formalisations in interactive theorem provers are *verified*, in contrast to computer algebra systems like Magma and SageMath.

Basic example

Here is a theorem in Lean that $\text{im}(f) \leq \ker(f)$ whenever $g \circ f = 1$.

```
variable {A B C : Type} [Group A] [Group B] [Group C]

def ker (f : A →* B) : Subgroup A where
  carrier := {a : A | f a = 1}
  one_mem' := by simp
  inv_mem' := by simp
  mul_mem' := by aesop

def im (f : A →* B) : Subgroup B where
  carrier := {b : B | ∃ a : A, b = f a}
  one_mem' := ⟨1, by simp⟩
  inv_mem' := fun ⟨a, _⟩ ↦ ⟨a-1, by aesop⟩
  mul_mem' := fun ⟨a, _⟩ ⟨b, _⟩ ↦ ⟨a * b, by aesop⟩

theorem im_le_ker {f : A →* B} {g : B →* C} (h : ∀ a : A, g (f a) = 1) :
  im f ≤ ker g := by
  intro b hb
  rcases hb with ⟨a, ha⟩
  rewrite [ha]
  exact h a
  -- Goal is  $\forall b : B, (\exists a : A, b = f a) \rightarrow (g b = 1)$ 
  -- Goal is  $g b = 1$ 
  -- New hypotheses (b : B) (hb : ∃ a : A, (b = f a))
  -- Goal is  $g b = 1$ 
  -- New hypotheses (b : B) (a : A) (ha : b = f a)
  -- Goal is  $g (f a) = 1$ 
  -- No goals!
```

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- ▶ The polynomial Freiman–Ruzsa conjecture was proven by Gowers, Green, Manners, and Tao (Nov 2023). Tao started a formalisation project in Lean 4 days later, and completed it in *3 weeks*.

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- ▶ They are *fun!* Example: I am addicted.

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Furthermore, it is also a *functional programming language*, written in C++ and *Lean*, with support for *multithreading* and *metaprogramming*.

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Finally, it supports *Unicode symbols*, and can be run in *common editors* like Visual Studio Code, Emacs, and Neovim.

Lean's mathematical community

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- ▶ local class field theory by Frutos-Fernández and Nuccio (Sep 2022 –)
- ▶ prime number theorem and... led by Kontorovich (Jan 2024 –)
- ▶ analytic number theory exponent database led by Tao (Aug 2024 –)
- ▶ infinity cosmos led by Riehl (Sep 2024 –)
- ▶ Fermat's last theorem led by Buzzard (Oct 2024 –)

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Algebraic number theory in Lean

In particular, `mathlib` also knows some non-trivial results in number theory, which is fundamentally *applied* pure mathematics, including:

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It will be learning about class field theory in July!

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However, `mathlib` does not know what a curve is! This is actually fine, because the Riemann–Roch theorem gives an equivalence of categories

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- ▶ a point on E is either \mathcal{O} or a tuple $(x, y) \in R^2$ such that

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The algebra can be developed independently of the geometry!

How far can we develop the arithmetic purely algebraically?

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$$\begin{aligned} E(F) &\longrightarrow \text{Cl}(F[E]) \\ \mathcal{O} &\longmapsto [\langle 1 \rangle] \\ (x, y) &\longmapsto [\langle X - x, Y - y \rangle] \end{aligned} .$$

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In 2022, Junyan Xu discovered an elementary but novel proof that this map is injective, *due to limitations in mathlib*. I formalised his argument in Lean and we wrote a paper that was published in ITP 2023.

The n -torsion subgroup in Lean

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Thus the ℓ -adic Tate module $T_{\ell} E_{\overline{F}} := \varprojlim_n E_{\overline{F}}[\ell^n]$ is a two-dimensional ℓ -adic Galois representation, which is crucial in Tate's isogeny theorem, Serre's open image theorem, Wiles's modularity lifting theorem, etc.

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Exercise 3.7(d) claims that for any point $(x, y) \in E(F)$,

$$[n]((x, y)) = \left(\frac{\phi_n(x, y)}{\psi_n(x, y)^2}, \frac{\omega_n(x, y)}{\psi_n(x, y)^3} \right).$$

The key idea is that $\psi_n(x, y) = 0$ occurs precisely when $[n]((x, y)) = \mathcal{O}$.

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Conjecture

No one has done Exercise 3.7 purely algebraically.

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- ▶ Exercise 3.7(d) needs four special cases of this stronger result. \square

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Def of ψ_n (3.7(a))

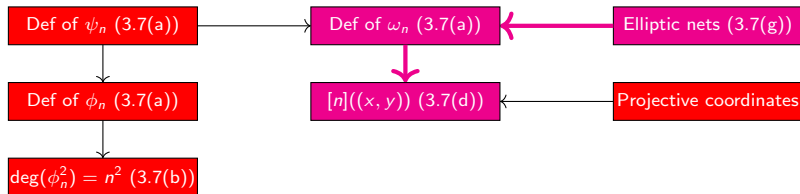
Def of ϕ_n (3.7(a))

$\deg(\phi_n^2) = n^2$ (3.7(b))

Projective coordinates

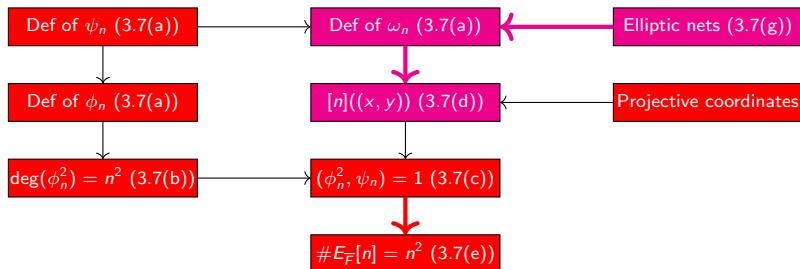
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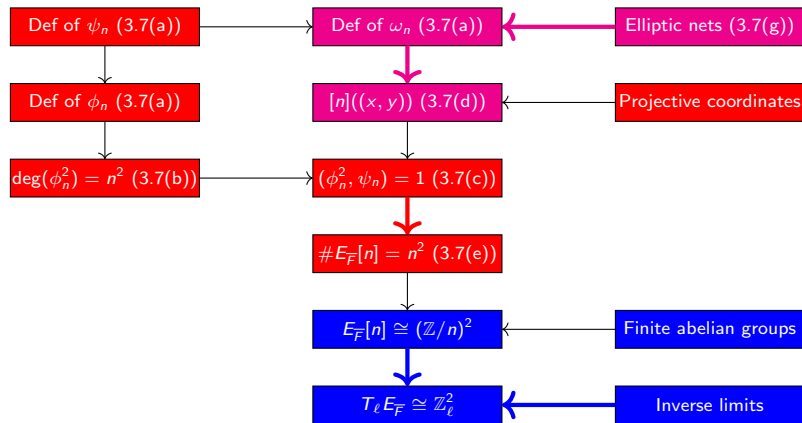
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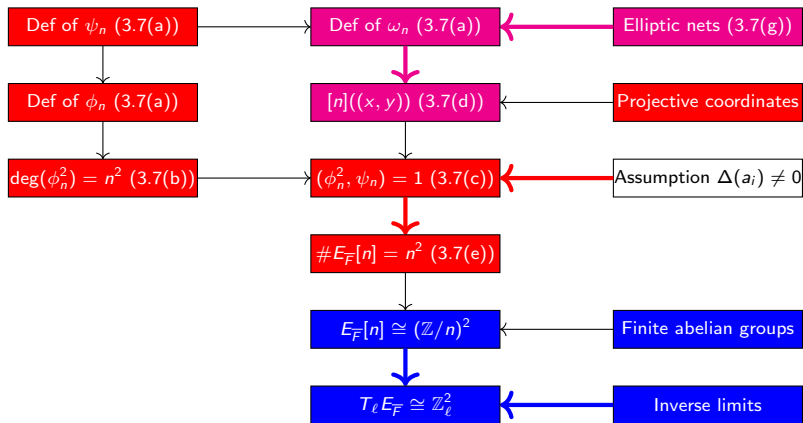
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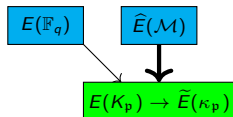
Note that the assumption $\Delta(a_i) \neq 0$ is unnecessary until Exercise 3.7(c).

Future projects

Can we formalise the *full statement* of the Birch and Swinnerton-Dyer conjecture for an elliptic curve E over a number field K in Lean?

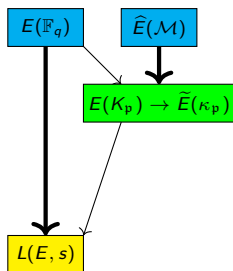
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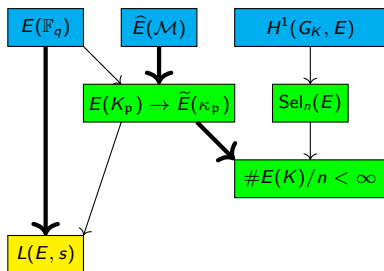
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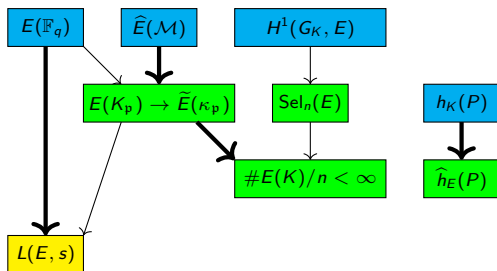
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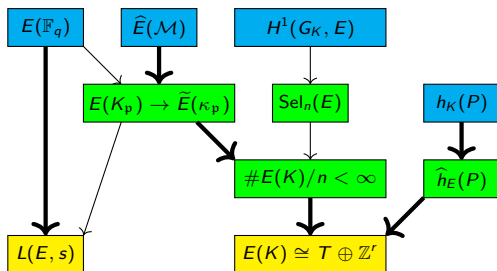
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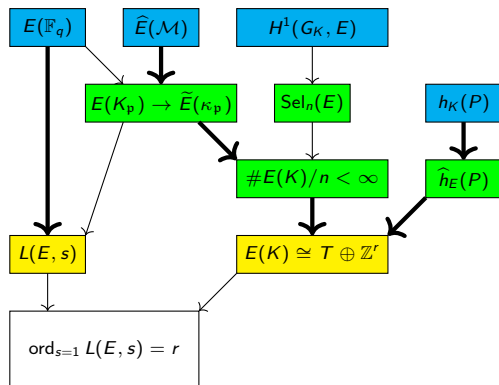
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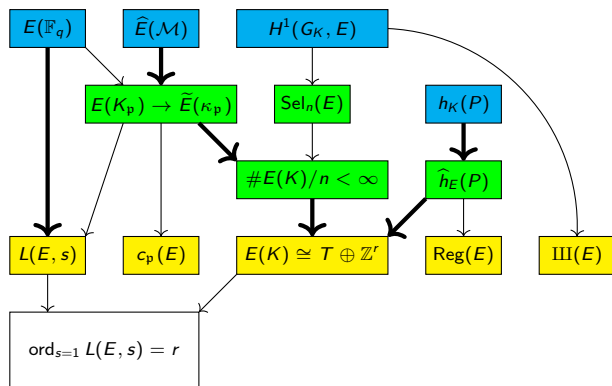
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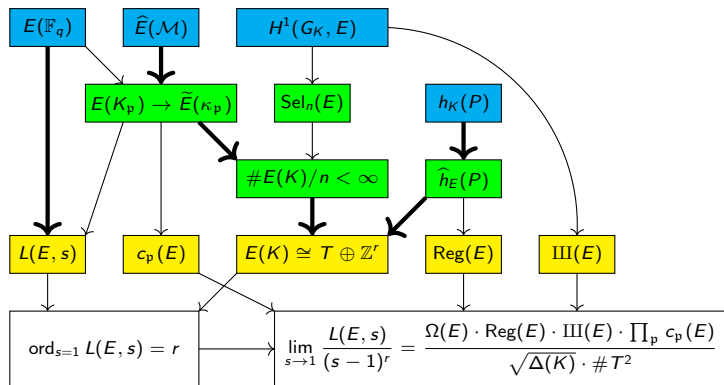
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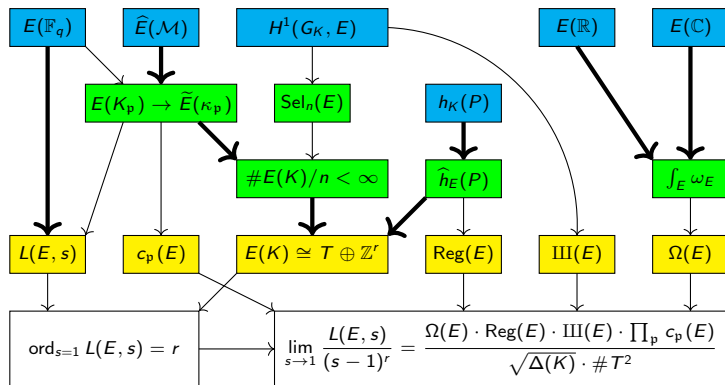
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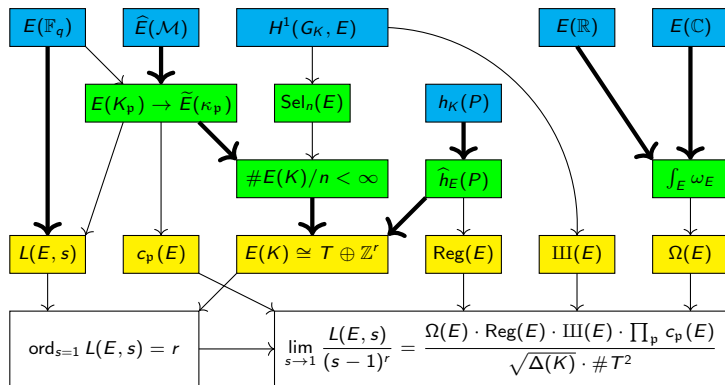
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